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TRANSMITTAL FORM		Application Number	09/927,788			
		Filing Date	August 9, 2001			
		First Named Inventor	Michael J. MAHAN			
		Group Art Unit	1645			
(to be used for all correspondence after initial filing)		Examiner Name	To Be Assigned			
Total Number Of Pages In This Submission 36	+ 1 DISK	Attorney Docket No.	22000206072	4		
ENCLOSURES (check all that apply)						
Fee Transmittal Form (1 page in duplicate)		ignment Papers an Application)		After Allowance Communication to Group		
Fee Attached	X Drav	wing(s) (8 sheets substitute)		Appeal Communication to Board of Appeals and Interferences		
Preliminary Amendment (4 pages)	Lice	ensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final	Peti	ition		Proprietary Information		
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		ver of Attomey, Revocation ange of Correspondence Addre es)	ess (3	Other Enclosure(s) (please identify below):		
Express Abandonment Request		minal Disclaimer		Declaration (2 pages) Statement Under 37 CFR 3.73(b) (1 page) Copy of Assignment (2 pages) Request for Corrected Filing Receipt (2 pages) Copy of Marked Up Filing Receipt (2 pages) Change of Correspondence Address (2 pages)		
Information Disclosure Statement	CD,	Number of CD(s)	_	Statement to Support Filing (2 pages) Sequence Listing (2 pages) 1 Diskette in Computer Readable Format Return receipt postcard		
Certified Copy of Priority Document(s)	Remarks					
Response to Missing Parts/ Incomplete Application						
Response to Missing Parts under 37 CFR 1.52 or 1.53 (2 pages)						
SIGNATURE OF APPLICANT, ATTORNEY OR AGENT						
Firm Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, CA 94304-1018 or Shantanu Basu, Reg. No. 43,318						
Individual Name Snamanu Basu, Reg. No. 43,318 Signature William Basu, Reg. No. 43,318						
Date December 14, 2001						

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Tamara Alcaraz

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

e application of:

Michael J. MAHAN et al.

Serial No.:

09/927,788

Filing Date:

August 9, 2001

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CHANGE OF CORRESPONDENCE ADDRESS

Box Missing Parts Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Please change the address, telephone and facsimile numbers for the attorneys of record in connection with the subject application to the following:

> Catherine M. Polizzi Morrison & Foerster LLP 755 Page Mill Road Palo Alto, California 94304-1018

Tel: (650) 813-5600 Fax: (650) 494-0792

pa-642999

Dated: December 14, 2001

Respectfully submitted,

By:

Shantanu Basu

Registration No. 43,318

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Telephone: (650) 813-5995
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/927,788

08/09/2001

Michael J. Mahan

REME001

CONFIRMATION NO. 6768

FORMALITIES LETTER

OC0000000065576411

Bozicevic Sozicevic, Field and Francis LLP Suite 200 200 Middlefield Road Menlo Park, CA 94025

Date Mailed 09/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$162.
 - \$162 for 9 total claims over 20.
- · The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1 16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1002.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application clearly fails to comply with the requirements of 37 C.F R. 1.821-1.825 Applicant's attention

ľŌ ľij ļ. <u>.</u>. . == is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

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